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PTO/SB/05 (03-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. AD 6747 US NA

First Inventor Jocelyn Willis-Papi

Title Multi-Phase Continuous Polyamide Polymerization Process

Express Mail Label No. EF304232502US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 17]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C.113) [Total Sheets 1]
5. Oath or Declaration [Total Pages]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)  
Prior application information: Examiner \_\_\_\_\_

of prior application No: \_\_\_\_\_ /  
Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

\*23906\*

23906

PATENT TRADEMARK OFFICE

or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

William H. Hamby

Registration No. (Attorney/Agent)

31,521

Signature

*William H. Hamby*

Date

September 28, 2001

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

|                      |                     |
|----------------------|---------------------|
| Application Number   | N/A                 |
| Filing Date          | September 28, 2001  |
| First Named Inventor | Jocelyn Willis-Papi |
| Examiner Name        | N/A                 |
| Group / Art Unit     | N/A                 |
| Attorney Docket No.  | AD 6747 US NA       |

TOTAL AMOUNT OF PAYMENT (\$ 710

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

04-1928

Deposit  
Account  
Name

E. I. du Pont de Nemours and Company

☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description        | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|----------|
| 101                  | 710                   | 201                  | 355                   | Utility filing fee     | 710      |
| 106                  | 320                   | 206                  | 160                   | Design filing fee      |          |
| 107                  | 490                   | 207                  | 245                   | Plant filing fee       |          |
| 108                  | 710                   | 208                  | 355                   | Reissue filing fee     |          |
| 114                  | 150                   | 214                  | 75                    | Provisional filing fee |          |

SUBTOTAL (1)

(\$ 710

## 2. EXTRA CLAIM FEES

| Total Claims               | Extra<br>Claims | Fee from<br>below | Fee Paid |
|----------------------------|-----------------|-------------------|----------|
| -20 =                      | 0               | 18                | 0        |
| Independent<br>Claims -3 = | 0               | 80                | 0        |
| Multiple<br>Dependent      |                 | 270               | 0        |

| Large<br>Fee Code | Entity<br>Fee (\$) | Small<br>Fee Code | Entity<br>Fee (\$) | Fee Description  |
|-------------------|--------------------|-------------------|--------------------|--|
| 103               | 18                 | 203               | 9                  | Claims in excess of 20.                                    |
| 102               | 80                 | 202               | 40                 | Independent claims in excess of 3                          |
| 104               | 270                | 204               | 135                | Multiple dependent claim, if not paid                      |
| 109               | 80                 | 209               | 40                 | ** Reissue independent claims over original patent         |
| 110               | 18                 | 210               | 9                  | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$ 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large<br>Entity<br>Fee<br>Code | Large<br>Entity<br>Fee<br>(\$) | Small<br>Entity<br>Fee<br>Code | Small<br>Entity<br>Fee<br>(\$) | Fee Description  | Fee Paid |
|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--|----------|
| 105                            | 130                            | 205                            | 65                             | Surcharge - late filing fee or oath  |          |
| 127                            | 50                             | 227                            | 25                             | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139                            | 130                            | 139                            | 130                            | Non-English specification  |          |
| 147                            | 2,520                          | 147                            | 2,520                          | For filing a request for reexamination                                     |          |
| 112                            | 920*                           | 112                            | 920*                           | Requesting publication of SIR prior to Examiner action                     |          |
| 113                            | 1,840*                         | 113                            | 1,840*                         | Requesting publication of SIR after Examiner action                        |          |
| 115                            | 110                            | 215                            | 55                             | Extension for reply within first month                                     |          |
| 116                            | 390                            | 216                            | 195                            | Extension for reply within second month                                    |          |
| 117                            | 890                            | 217                            | 445                            | Extension for reply within third month                                     |          |
| 118                            | 1,390                          | 218                            | 695                            | Extension for reply within fourth month                                    |          |
| 128                            | 1,890                          | 228                            | 945                            | Extension for reply within fifth month                                     |          |
| 119                            | 310                            | 219                            | 155                            | Notice of Appeal   |          |
| 120                            | 310                            | 220                            | 155                            | Filing a brief in support of an appeal                                     |          |
| 121                            | 270                            | 221                            | 135                            | Request for oral hearing   |          |
| 138                            | 1,510                          | 138                            | 1,510                          | Petition to institute a public use proceeding                              |          |
| 140                            | 110                            | 240                            | 55                             | Petition to revive - unavoidable   |          |
| 141                            | 1,240                          | 241                            | 620                            | Petition to revive - unintentional   |          |
| 142                            | 1,240                          | 242                            | 620                            | Utility issue fee (or reissue)   |          |
| 143                            | 440                            | 243                            | 220                            | Design issue fee   |          |
| 144                            | 600                            | 244                            | 300                            | Plant issue fee  |          |
| 122                            | 130                            | 122                            | 130                            | Petitions to the Commissioner  |          |
| 123                            | 130                            | 123                            | 130                            | Petitions related to provisional applications                              |          |
| 126                            | 180                            | 126                            | 180                            | Submission of Information Disclosure Stmt                                  |          |
| 581                            | 40                             | 581                            | 40                             | Recording each patent assignment per property (times number of properties) |          |
| 146                            | 710                            | 246                            | 355                            | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149                            | 710                            | 249                            | 355                            | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                            | 710                            | 279                            | 355                            | Request for Continued Examination (RCE)                                    |          |
| 169                            | 900                            | 169                            | 900                            | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

William H. Hamby

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31,521

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(302)992-3230

Signature

Date

September 28, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments

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